



Company License/Registration Information

Overview/Policy

The License/Registration Information displays a list of all license/registration requests pending submission as well as any previously submitted license/registration requests. <u>Users</u> can request a new license, review existing requests, cancel a previously submitted request, or request to withdraw an existing license/registration.

A company has the option to request the withdrawal of an application <u>prior to an</u> <u>approval</u> by a regulator. A company can surrender/cancel a license/registration that is <u>currently approved</u>.

Definitions and Charts

License Status Definitions Chart

How To

Surrender/Withdraw:

- 1. If the user wants to surrender an approved license/registration, click **Surrender** for the corresponding state license.
- 2. If the user wants to withdraw a pending license/registration, click **Withdraw** for the corresponding state license.
- 3. Click **Request License** to go back and apply or transition an additional license/registration.
- 4. Click **Continue with Filing** to navigate to the next section of this filing.

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				Total Charges: \$0.00
Below are a list in NMLS for you Requirements o	of Requests Pending Submission ar ir company. If you have questions abo in the NMLS Resource Center.	id and a list of Submitted Li but the types of licenses you	icense/Registration Reque u need to apply for, see the	sts being managed State License
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Cancel Action within this filing:

- 1. If the user wants to cancel the Pending Action, click **Cancel Action** for the corresponding state license.
- 2. Click **Request License** to apply or transition an additional license/registration.
- 3. Click **Continue with Filing** to navigate to the next section of this filing.

Helpful Hints

- 1. Users can click Request License to apply for or transition a license/registration.
- 2. Users can click Continue with Filing to navigate to the next section of this filing.
- 3. A complete list of all licenses/registrations and their status can be viewed through the Composite View tab.
- 4. To submit a Surrender or Withdraw request you must navigate through the Attest and Submit screen to file the request with the regulator.

Additional Resources:

- Find State-Specific requirements on the <u>NMLS Resource Center.</u>
- Details regarding information available on NMLS Consumer Access can be found within <u>Information Viewable on NMLS Consumer Access</u>.
- Quick Guides:
 - Submitting Company Form (MU1)
 - Surrender or Withdraw Requests

See Company and Branch Help Table of Contents